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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
09/751,989	12/29/2000	Michael P. Levosky	LEV-001	LEV-001 7705	
26918	7590 10/19/2004	•	EXAMINER		
WHITE & FUDALA			OSMAN, RAMY M		
57 BEDFORD STREET SUITE 103			ART UNIT	PAPER NUMBER	
LEXINGTON	, MA 02420		2157		
			DATE MAILED: 10/19/200-	4	

Please find below and/or attached an Office communication concerning this application or proceeding.



PTO-90C (Rev. 10/03)

		Application No.	Applicant(s)	— ()K1				
Office Action Summary		09/751,989		LEVOSKY, MICHAEL P.				
	omoc Action Gammary	Examiner	Art Unit					
	The MAILING DATE of this communication	Ramy M Osman	2157					
Period fo		appears on the cover sheet v	viui the correspondence addres	>> <del></del>				
THE - Exte after - If the - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REMAILING DATE OF THIS COMMUNICATIOnsions of time may be available under the provisions of 37 CF SIX (6) MONTHS from the mailing date of this communication experiod for reply specified above is less than thirty (30) days, or period for reply is specified above, the maximum statutory pure to reply within the set or extended period for reply will, by serely received by the Office later than three months after the red patent term adjustment. See 37 CFR 1.704(b).	DN. R 1.136(a). In no event, however, may a n. a reply within the statutory minimum of the eriod will apply and will expire SIX (6) MC tatute, cause the application to become a	reply be timely filed  irty (30) days will be considered timely.  NTHS from the mailing date of this commuNABANDONED (35 U.S.C. § 133).	unication.				
Status								
1)🖂	Responsive to communication(s) filed on 2	22 July 2004.						
2a)⊠	This action is <b>FINAL</b> . 2b)	This action is non-final.						
3)	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Disposit	ion of Claims							
5)□ 6)⊠ 7)⊠	Claim(s) <u>1-28</u> is/are pending in the applica 4a) Of the above claim(s) <u>1,2,5 and 13-23</u> Claim(s) is/are allowed. Claim(s) <u>1-28</u> is/are rejected. Claim(s) <u>12 and 25-28</u> is/are objected to. Claim(s) are subject to restriction and	is/are withdrawn from consid	eration.					
Applicat	ion Papers	من حلا محم ده ما ما ما ما ما		<del></del> .				
9)[	The specification is objected to by the Exar	miner.						
10)[	The drawing(s) filed on is/are: a)	accepted or b)  objected to	by the Examiner.					
	Applicant may not request that any objection to	***						
11)	Replacement drawing sheet(s) including the co The oath or declaration is objected to by th	•						
Priority (	under 35 U.S.C. § 119							
a)	Acknowledgment is made of a claim for for All b) Some * c) None of:  1. Certified copies of the priority docum 2. Certified copies of the priority docum 3. Copies of the certified copies of the application from the International Busee the attached detailed Office action for a	nents have been received. nents have been received in priority documents have bee treau (PCT Rule 17.2(a)).	Application No n received in this National Sta	ige				
Attachmen	ıt(s)							
	ce of References Cited (PTO-892)		Summary (PTO-413)					
3) Infor	ce of Draftsperson's Patent Drawing Review (PTO-948 mation Disclosure Statement(s) (PTO-1449 or PTO/SI PTO(s)/Mail Date	· — —	o(s)/Mail Date Informal Patent Application (PTO-15. 	2)				

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#### **DETAILED ACTION**

#### Status of Claims

1. This communication is responsive to the amendment filed on July 22, 2004. Applicant cancelled claims 1,2,5 and 13-23, amended claims 3 and 4, and added new claims 24-28. Claims 1-28 are pending.

## Claim Objections

- 2. Claim12 objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of previous claim 11. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form.
- 3. Claim 25 objected to because of the following informalities: The sentence ends with two periods, one should be removed.
- 4. Claim 26 objected to because of the following informalities: Step (c) should end with a period and not a semi-colon.
- 5. Claim 27 objected to because of the following informalities:
  - Step (a) renders the claim as an improper multiple dependent claim.
  - The end of step (a) should not end with a period.
- Step (b) should read "for each alias email address:". The comma should be replaced with a colon.

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6. Claim 28 objected to because of the following informalities: Step (b) should read "method further comprises:". The comma should be replaced with a colon.

Appropriate correction is required.

### Claim Rejections - 35 USC § 112

- 7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 8. Claim 24 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Applicant fails to maintain consistency throughout the claim language. It is not clear in steps (a) (i) as to whether it is the user or the server which is initiating the particular step.
  - Steps (a) and (b) contain the limitation "entering by the user of... into a client program". This is unclear because it cannot be determined if a user of a physical email address is entering into a program, or if physical email address is being entered by a user.
  - Step (c) is not clear whether the server is automatically self-initialized to generate the address, or if an administrator at the server generates the address, or if an external user requests the server to generate the address.
  - Step (d) doesn't specify who is transmitting.
  - Step (e) is not clear as to who is transmitting, and whether it's the server that is transmitting commands.

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- Step (f) inherits the deficiencies of steps (a) – (e). The physical email address and corresponding identification information lack antecedent basis. They were not transmitted to the server, and so it is not clear as to how they can be stored there.

- 9. Claim 27 rejected under 35 U.S.C. 112, second paragraph, as being indefinite. Step (a) is not clear as to whether the identification information is being generated or entered.
- 10. Claim 28 rejected under 35 U.S.C. 112, second paragraph, as being indefinite. The limitation "whereby the method further comprises". It is not clear what method is being referred to, the "user identification" or the "identification message".
- 11. Claim 3 rejected under 35 U.S.C. 112, second paragraph, as being indefinite. The claim language is unclear as to whether the user of the reply function is the one who selects the email client program.
- 12. Claim 28 recites the limitation "the email forwarded to the user" in steps (i) and (ii). There is insufficient antecedent basis for this limitation in the claim. There is no mention of forwarding an email to a server, so it is unclear what is the email that was forwarded to the server.

## Claim Rejections - 35 USC § 103

- 13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

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having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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- 1. Claims 24-26,28,3 and 6-11 rejected under 35 U.S.C. 103(a) as being unpatentable over Rochkind (US Patent No 6,161,129) in view of Gabber at al. (U.S. Patent No. 6,591,291).
- 2. In reference to claim 24, Rochkind teaches in a computer data communications network a method for a user to control and organize email, the user having a physical email address, the method comprising the steps of:
- (a) entering by the user of the physical email address into a client control program; (b) entering by the user of identification information into the client control program; (c) generating by an alias email server a first alias email address, not comprising the physical address, and not comprising a recipient address; (d) transmitting the first alias email address to the client control program. (column 3 lines 32-52, column 4 lines 26-65 and column 5 lines 20-60, Rochkind teaches a user of an unlisted address, entering a message into a client program where the message is forwarded to a messaging server. The server generates an alias email address and returns it to the client).
- (f) storing the physical email address, the filter commands, the corresponding identification information, and the corresponding first alias email address at the alias email server; (column 3 line 52 column 4 line 10 and column 7 lines 30-45)
- (g) transmitting a message comprising the first alias email address as a sender address to a recipient; (h) receiving by the alias email server of a reply message addressed to the first alias email address. (column 4 lines 26-65 and column 5 lines 20-60)

Rochkind fails to explicitly teach steps:

- (e) transmitting one or more commands to filter messages by the alias email server addressed to the first alias email address; and
- (i) if the stored filter commands do not cause the alias email server to block the reply message, then forwarding by the alias email server of the reply message, together with the identification information, to the user's physical address.

However, Gabber teaches filtering incoming reply mail based on alias email address, and if the filtering does not block the reply message then allowing receipt of the message. This allows filtering of unwanted mail. (column 3 lines 20-51, column 8 lines 5-20 & 35-67 and column 9 lines 15-25)

It would have been obvious for one of ordinary skill in the art to modify Rochkind by teaches filtering incoming reply mail based on alias email-address, and if the filtering does not block the reply message then allowing receipt of the message as per the teachings of Gabber so as to allow filtering of unwanted mail.

- 14. In reference to claim 25, Rochkind teaches the method of claim 24, further comprising transmitting by the user a message to two or more recipients using the same alias first email address and the same identification information (column 4 line 66 column 5 line 7).
- 15. In reference to claim 26, Rochkind teaches the method of claim 25 above. Rochkind fails to explicitly teach wherein the filter commands further comprise: (a) rejecting all messages addressed to the first alias email address at the alias email server; (b) suspending receipt of all

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messages addressed to the first alias email address by the alias email server; and (c) restoring receipt of all messages addressed to the first alias email address at the alias email server.

However, Gabber teaches filtering incoming reply mail based on alias email address, and if the filtering does not block the reply message then allowing receipt of the message. This allows filtering of unwanted mail. (column 3 lines 20-51, column 8 lines 5-20 & 35-67 and column 9 lines 15-25)

It would have been obvious for one of ordinary skill in the art to modify Rochkind by teaches filtering incoming reply mail based on alias email address, and if the filtering does not block the reply message then allowing receipt of the message as per the teachings of Gabber so as to allow filtering of unwanted mail.

- 16. In reference to claim 3, Rochkind teaches the method of claim 25, further comprising:

  -(a) selecting by the user of the Reply function of the Email client program; (b) inputting of a—
  reply message by the user; (c) transmitting of the users reply, message to the Alias Email
  server: (d) stripping the user's reply message of all information which could identify the user's
  physical email address; and (e) forwarding the stripped reply message to the recipient. (column
  4 lines 27-65)
- 17. In reference to claim 6, Rochkind in view of Gabber teach claim 5 above. Gabber teaches wherein the generating of the alias Email address further comprises substantially random character generating (column 5 line 35 column 7 line 20).
- 18. In reference to claim 7, Rochkind in view of Gabber teach claim 6 above. Gabber teaches entering one or more additional physical Email addresses into the client control program,

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and receiving an additional alias Email address corresponding to each additional physical Email

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address (Summary and column 5 lines 30-67).

19. In reference to claim 8, Rochkind in view of Gabber teach claim 7 above. Gabber teaches entering a request by the client control program for one or more additional alias addresses corresponding to each physical address, and receiving by the client control program an additional alias Email address corresponding to each such request (Summary and column 5 lines 30-67).

- 20. In reference to claim 9, Rochkind in view of Gabber teach claim 8 above. Gabber teaches entering a request by the client control program to suspend forwarding by the alias Email server to the Email client program of all Email addressed to a particular alias Email address (column 7 lines 35-67 and column 8 lines 5-20 & 35-67).
- 21. In reference to claim-10, Rochkind in view of Gabber teach claim-9 above. Gabber teaches entering a request by the client control program to permanently discontinue receipt by the Email client program of all Email addressed to a particular alias Email address (column 7 lines 35-67 and column 8 lines 5-20 & 35-67).
- 22. In reference to claim 11, Rochkind in view of Gabber teach claim 10 above. Gabber teaches generating by the client control program of an alias Email address containing a user name, and filtering the Email at the Alias Email Server (column 5 lines 30-67, column 7 lines 35-67 and column 8 lines 5-20 & 35-67).

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23. Claims 4,27 and 28 rejected under 35 U.S.C. 103(a) as being unpatentable over Rochkind (US Patent No 6,161,129) in view of Gabber at al. (U.S. Patent No. 6,591,291) in further view of Lee et al. (U.S. Patent No. 6,212,553).

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- 24. In reference to claims 4 and 27, Rochkind teaches the method of claims 3 and 26 above. Rochkind fails to explicitly teach
- (a) generating of a one or more additional alias email addresses and identification information associated with each such additional alias email address by the method of claim 25;
- (b) generating a log by the client control program, and displaying the log to the user, the log comprising, for each alas email address:
- (i) the date of the generation of the alias email address; (ii) the alias email address; and(iii) the corresponding identification information.

However, Lee-teaches a list of email transactions (figures 14 &-35) which comprises time and date (column 15), email address (column 15) and identification information (columns 20-23). Lee also teaches where the list can be sorted according to a variety of ways (column 25).

It would have been obvious for one of ordinary skill in the art to modify Gabber by including an email list with the above mentioned characteristics as per the teachings of Lee so that users can keep track of email messages.

25. In reference to claim 28, Rochkind teaches the method of claim 27, whereby (a) the email messages further comprise a subject and a body; and (b) the user identification information comprises a first identification message and a second identification message, and whereby the method further comprises: (i) appending by the alias email server of the first

identification message to the subject of the email forwarded to the user; and (ii) appending by the alias email server of the first identification message to the body of the email forwarded to the user. (Summary and column 4 line 25 – column 5 line 60).

### Response to Amendment

- 26. Examiner acknowledges the amendment filed on July 22,2004. Applicant cancelled claims 1,2,5 and 13-23, amended claims 3 and 4, and added new claims 24-28.
- 27. The affidavit under 37 CFR 1.132 filed on 7/22/2004 is insufficient to overcome the rejection of the claims based upon 103 (a) as set forth in the last Office action.

It states that the claimed subject matter solved a problem that was long standing in the art. However, there is no showing that others of ordinary skill in the art were working on the problem and if so, for-how long. In addition, there is no evidence that if persons skilled in the art who were presumably working on the problem knew of the teachings of the above cited references, they would still be unable to solve the problem. See MPEP § 716.04.

#### Response to Arguments

28. Applicant's arguments with respect to claims 1-28 have been considered but are moot in view of the new ground(s) of rejection.

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29. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ramy M Osman whose telephone number is (703) 305-8050.

The examiner can normally be reached on M-F 9-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (703) 308-7562. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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RMO October 14, 2004

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